

West Yorkshire Passenger Transport Authority Risk Register

Impact	
Impact	Definition
5	Very severe
4	Severe
3	Moderate
2	Minor
1	Very Minor

Likelihood	
Likelihood	Definition
5	Almost Certain / Recent
4	Likely
3	Possible
2	Unlikely
1	Rare

Red/Amber/Green	
RAG	Definition
Red	Needs Constant Review/Urgent Action
Amber	Continue to Monitor
Green	No Additional Action Required

Number	Risk	Before Control Measures			Owner/ Responsibility	Control Measures and Actions	Time- scales	After Control Measures			RAG Rating
		Impact	Like- lihood	Rating				Impact	Like- lihood	Residual Rating	
PTA 1	National proposals for development of PTAs and PTEs. (Local Transport Bill)	5	5	25	Chair Members Clerk	Liason with Gov't Depts. Role of Pteg. Develop't of regional transport vision. Regular PTA discussions.	Current	5	5	25	Amber
PTA 2	First Group appeal on concessionary fares reimbursement. (2006/7 & 2007/8)	5	5	25	Clerk, PTE	Agreement signed up with First- risk to be removed.	Current				Green
PTA 3	National arrangements for 2008 concessionary scheme - risk of appeal	5	5	25	Clerk, PTE	Agreement with other major operators in principle	2008	3	1	3	Green
PTA 4	Treasury manag't services provided by Leeds CC.	5	5	25	Clerk, CFO	Independent review and monitoring of transactions; Service agreement; review of controls in operation.	Ongoing	5	1	5	Green
PTA 5	Members are given inappropriate or bad advice.	5	3	15	Clerk Deputy Clerk Solicitor PTE staff	Restrict officers providing advice to senior officers to those with relevant experience, skill & knowledge. Political awareness and impartiality. Guidance provided in members handbook, regularly reviewed/updated.	Ongoing	5	1	5	Green
PTA 6	Members do not comply with regulations	5	3	15	Members Clerk Solicitor	Guidance provided in members handbook, regularly reviewed/updated. New Members seminars.	Ongoing	5	1	5	Green

PTA 7	Failure to provide support to committee process and meet legislative requirements.	4	3	12	Dep Clerk Lead off. PTA/E Snr Officers.	PTA schedule meetings. Deputy Clerk reviews all minutes for accuracy. Also review by Chair and lead officer before minutes released.	Ongoing	4	1	4	Green
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